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**EXHIBIT 1**

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11/10/2020

New Jersey Man Arrested For \$45 Million Scheme To Defraud And Price Gouge New York City During COVID-19 Pandemic | USAO-SD...



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Department of Justice

U.S. Attorney's Office

Southern District of New York

FOR IMMEDIATE RELEASE

Tuesday, May 26, 2020

## **New Jersey Man Arrested For \$45 Million Scheme To Defraud And Price Gouge New York City During COVID-19 Pandemic**

Geoffrey S. Berman, the United States Attorney for the Southern District of New York, and Margaret Garnett, the Commissioner of the New York City Department of Investigation ("DOI"), announced the arrest of RONALD ROMANO for attempting to deceive and price gouge New York City (the "City") into paying him and his co-conspirators approximately \$45 million for personal protective equipment that ROMANO did not possess and was not authorized to sell. ROMANO committed this scheme in an attempt to exploit NYC as it was trying to manage the impact of the COVID-19 pandemic and obtain these resources to help protect the lives of hospital and other frontline workers. ROMANO is charged in a criminal Complaint, unsealed today, with one count of conspiring to commit wire fraud, one count of wire fraud, and one count of conspiring to violate the Defense Production Act. ROMANO will be presented this afternoon in Manhattan federal court before U.S. Magistrate Judge Ona T. Wang.

U.S. Attorney Geoffrey S. Berman said: "As alleged, used car salesman Ronald Romano saw the current health emergency as an opportunity to cash in, using lies and deception in what he envisioned as a get-rich-quick scheme. Romano allegedly lied repeatedly about his authority and ability to sell large quantities of personal protective equipment to the City – equipment he knew was desperately needed for use by frontline medical workers and first responders. And he allegedly offered to sell this phantom equipment to the City at grossly inflated prices. Now Ronald Romano's short-lived second career as a purveyor of vital protective gear is over."

DOI Commissioner Margaret Garnett said: "At a time when the pandemic was ravaging New York City, this defendant greedily preyed on the City's desperate need for protective equipment to stop the spread of the virus. But, instead of reaping millions of dollars, the scheme received a dose of old-fashioned, New York City skepticism from procurement specialists at the City's Department of Citywide Administrative Services (DCAS), when the City called the supposed manufacturer to confirm the astronomical asking price. The defendant's ruse unraveled, and these City workers proved that heroes have an array of titles. I thank the Office of the United States Attorney for the Southern District of New York for its partnership on this important investigation, one that demonstrates there is no tolerance, at any time, in particular during this crisis, for individuals who seek to victimize this City by holding essential workers' safety hostage to price-gouging and fraud."

According to the allegations in the Complaint unsealed in Manhattan federal court:<sup>[1]</sup>

11/10/2020

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In approximately February 2020, ROMANO, a used car dealer, began attempting to obtain for resale large quantities of personal protective equipment ("PPE"), including N95 respirators. In furtherance of the scheme, ROMANO, among other things, created a fictitious authorization letter in March 2020, which falsely represented that ROMANO's company was authorized to sell millions of units of 3M-brand PPE. Shortly thereafter, in mid-March 2020, brokers acting on ROMANO's behalf approached New York City (the "City"), which at the time was in critical need of legitimate, potentially lifesaving PPE, including respirators, in order to supply frontline healthcare workers and first responders during the COVID-19 public health emergency. During ensuing negotiations, ROMANO and others repeatedly made false and fraudulent representations regarding, among other things, their authority and ability to supply 3M-brand PPE manufactured in the United States, and their track record in other PPE deals. In an effort to close a deal for seven million N95 respirators, ROMANO, among other things, submitted a false and misleading references document to the City, which, among other things, listed a PPE deal with the Florida Division of Emergency Management (the "FDEM") that had never occurred and separately provided a co-conspirator as a reference. ROMANO hoped to get profit quickly through the scheme. As he described in a message to a co-conspirator, "I'm working on a few deals that if I get any of them you might be buying a Ferrari."

In furtherance of this scheme, ROMANO attempted to sell PPE at prices far above the prices at which he hoped to acquire the PPE, including after such PPE was designated as scarce materials under the Defense Production Act on March 25, 2020. ROMANO offered three-ply N99 facemasks to FDEM at prices marked up by more than 500% from the manufacturer's prices, and he separately offered the City millions of 3M-brand N95 respirators at more than a 400% markup from the list price for such respirators.

\* \* \*

ROMANO, 58, of Manalapan, New Jersey, is charged with one count of conspiring to commit wire fraud and one count of wire fraud, each of which carries a maximum sentence of 30 years in prison, and one count of conspiring to violate the Defense Production Act, which carries maximum sentence of not more than one year in prison. The maximum potential sentences in this case are prescribed by Congress and are provided here for informational purposes only, as any sentencing of the defendant will be determined by the judge.

Mr. Berman praised the Special Agents of the United States Attorney's Office for the Southern District of New York for their outstanding investigative work, and thanked the New York City Department of Investigation for their invaluable assistance with this matter. Mr. Berman also thanked the 3M Company for its assistance in the investigation.

Mr. Berman thanked the Department of Justice's COVID-19 Hoarding and Price Gouging Task Force. Attorney General William P. Barr created the COVID-19 Hoarding and Price Gouging Task Force, led by Craig Carpenito, United States Attorney for District of New Jersey, who is coordinating efforts with the Antitrust Division and U.S. Attorneys across the country wherever illegal activity involving protective personal equipment occurs.

This case is being handled by the Office's Complex Frauds and Cybercrime Unit. Assistant United States Attorneys Nicholas W. Chiuchiolo and Timothy V. Capozzi are in charge of the prosecution.

The charges contained in the Complaint are merely accusations, and the defendant is presumed innocent unless and until proven guilty.

[1] As the introductory phrase signifies, the entirety of the text of the Complaint and the description of the Complaint set forth herein constitute only allegations, and every fact described should be treated as an allegation.

11/10/2020

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**Attachment(s):**

[Download ronald\\_romano\\_complaint\\_20\\_mag\\_5276.1.pdf](#)

**Topic(s):**

Coronavirus

Financial Fraud

**Component(s):**

[USAO - New York, Southern](#)

**Contact:**

Jim Margolin, Nicholas Biase

(212) 637-2600

**Press Release Number:**

20-114

Updated May 26, 2020

## EXHIBIT 2



# Get the Facts. N95 Respirator Pricing

To help customers identify and avoid inflated prices, 3M has published its current single-case list prices for many of the most common 3M N95 respirator models sold in the U.S.

**3M has not changed the prices we charge for 3M respirators as a result of the COVID-19 outbreak.**

## U.S. List Prices for Common N95 Respirator Models

These list prices are per respirator.

These list prices represent suggested prices to end customers. 3M's prices to its authorized distributors are lower than these list prices.

An end customer's actual prices may be lower than these list prices, as negotiated between the end customer and its chosen distributor.

List prices for these models sold in Canada are similar on a currency-adjusted basis.

Model	Type	List Price (USD)
1804	Surgical	\$0.68
1804S	Surgical	\$0.68
1860	Surgical	\$1.27
1860S	Surgical	\$1.27
1870+	Surgical	\$1.78
8210	Standard	\$1.02 - \$1.31
8210Plus	Standard	\$1.18 - \$1.50
8210V	Standard	\$1.48 - \$1.88
8110S	Standard	\$1.08 - \$1.37
8200	Standard	\$0.63 - \$0.80
8511	Standard	\$2.45 - \$3.11
9105	Standard	\$0.64 - \$0.81
9105S	Standard	\$0.64 - \$0.81
9210+	Standard	\$1.40 - \$1.78
9211+	Standard	\$2.68 - \$3.40

### Additional Links

[3M Disposable Respirators](#) | [Quick Reference Guide](#)

**If you suspect fraud or price gouging contact 3M immediately.**

Contact the 3M Fraud Hotline at 1 (800) 426-8688 or on the web at [go.3M.com/covidfraud](https://go.3M.com/covidfraud).

## EXHIBIT 3

11/15/2020

3M's efforts on counterfeit N95 mask crackdown leads to raids in Vietnam, U.A.E. - StarTribune.com

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CORONAVIRUS

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CORONAVIRUS

## 3M's efforts on N95 crackdown leads to raids in Vietnam, U.A.E.

1,200 seizures and raids so far have uncovered knockoffs.

By Mike Hughlett (<https://www.startribune.com/mike-hughlett/89522247/>) Star Tribune

OCTOBER 5, 2020 — 6:29PM

3M continues to crack down on counterfeit N95 respirators, working with law enforcement agencies on more than 1,200 seizures and raids worldwide.

Those actions include the combined seizures of more than 800,000 fake N95 masks in the United Arab Emirates, Vietnam and South Africa, 3M said Monday.

Maplewood-based 3M is the leading manufacturer of N95 respirators in the United States and one of the largest globally. The masks are considered the gold standard in protection against pathogens and other particulate matter.

With the COVID-19 pandemic, demand for respirators has far exceeded supply, and rampant commercial fraud and price gouging has followed.

3M said it has investigated more than 7,700 fraud reports globally, up from 4,000 cases in mid-July. The company has filed 19 lawsuits, up one from then.

3M's online actions also have greatly increased.

The company has now removed more than 13,500 false or deceptive social media posts; more than 11,500 fraudulent e-commerce offerings; and at least 235 deceptive domain names.



ANTHONY SOUFFLE/STAR TRIBUNE

3M headquarters in Maplewood.

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The mask chicanery is global, with 3.5 million fake masks seized since the pandemic began.

In Vietnam, a 3M investigation led to a factory raid and seizure of more than 150,000 counterfeit respirators. Hanoi and Ho Chi Minh City authorities also seized equipment used to manufacture phony respirators.



11/15/2020

3M's efforts on counterfeit N95 mask crackdown leads to raids in Vietnam, U.A.E. - StarTribune.com

In the United Arab Emirates, 3M has worked with police and the Ministry of Economic Development to seize more than 600,000 fake N95s. And in South Africa, 3M is investigating numerous fraud cases; the country's customs authority has already seized over 100,000 N95 counterfeits.

Also, 3M has worked with customs agencies in Latin American in 15 cases, seizing more than 10,000 counterfeit N95s being imported from other parts of the world.

3M is working with law enforcement in the U.S. and European Union, too.

**Mike Hughlett** covers energy and other topics for the Star Tribune, where he has worked since 2010. Before that he was a reporter at newspapers in Chicago, St. Paul, New Orleans and Duluth.

mike.hughlett@startribune.com 612-673-7003

## EXHIBIT 4

Int. Cls.: 9 and 10

Prior U.S. Cls.: 21, 23, 26, 36, 38, 39 and 44

United States Patent and Trademark Office

Reg. No. 3,398,329

Registered Mar. 18, 2008

TRADEMARK  
PRINCIPAL REGISTER

3M

3M COMPANY (DELAWARE CORPORATION)  
3M CENTER, 220-9E-01  
2501 HUDSON ROAD  
ST. PAUL, MN 55144

FOR: FULL LINE OF PARTICULATE, OZONE, GAS, VAPOR, CHEMICAL, BIOHAZARD AND OTHER RESPIRATORS, INCLUDING FILTERING FACE-PIECE RESPIRATORS AND ELASTOMERIC FACE-PIECE RESPIRATORS, OTHER THAN FOR ARTIFICIAL RESPIRATION; FULL LINE OF SELF-RESCUE AND PROTECTION APPARATUS, NAMELY, OXYGEN BREATHING UNITS, SUPPLIED-AIR RESPIRATORS, AND POWERED AIR-PURIFYING SYSTEMS (PAPRS) RESPIRATORS; CARTRIDGES, FILTERS, AIR TANKS AND OTHER COMPONENT PARTS FOR RESPIRATORS AND BREATHING UNITS; DUST MASKS; FULL LINE OF PROTECTIVE EYEWEAR, NAMELY, SAFETY GOGGLES, EYEGLASSES AND EYE SHIELDS; FACE-PROTECTION SHIELDS; EAR PLUGS AND EAR MUFFS TO ATTENUATE SOUND AND PROTECT HEARING; HARD HATS AND OTHER PROTECTIVE HELMETS; WELDING HELMETS; AIR MONITORING DEVICES AND SENSORS FOR MEASURING GASES AND VAPOR CONCENTRATION LEVELS; GAS DETECTORS FOR DETECTING THE PRESENCE OF CARBON MONOXIDE AND OTHER GASES; THERMAL-IMAGING CAMERAS FOR USE BY FIREFIGHTERS AND FOR SEARCH AND RESCUE; ENVIRONMENTAL SAMPLING AND TESTING INSTRUMENTS AND EQUIPMENT, NAMELY, ELECTRONIC LUMINOMETERS, AND RELATED SOFTWARE, DOCKING STATIONS AND BATTERIES, FOR DETECTING, MEASURING AND ANALYZING CHEMICALS, BIOLOGICAL SUBSTANCES, FOOD RESIDUES AND MICROBES; MICROBIOLOGICAL AND CONTAMINANT-TESTING INSTRUMENTS AND EQUIPMENT, AND SOFTWARE RELATED THERETO, FOR DETECTING, MEASURING AND ANALYZING BACTERIA, INCLUDING PATHOGENS SUCH SALMONELLA AND LISTERIA, ALLERGENS, TOXINS, VITAMINS,

ANTIBIOTICS, AND OTHER ORGANISMS AND SUBSTANCES; DIAGNOSTIC APPARATUS FOR TESTING FOOD; LABORATORY EQUIPMENT AND SUPPLIES, NAMELY, TEST TUBES, TEST TUBE CAPS, DIP STICKS, RACKS, MICROTITRE PLATES AND TRAYS; SECURITY SCANNERS AND READERS FOR USE IN READING PASSPORTS AND OTHER FORMS OF IDENTIFICATION; ANTI-THEFT AND LIBRARY MATERIAL CHECK-OUT SECURITY SYSTEMS; RADIO FREQUENCY IDENTIFICATION (RFID) TAGS AND READERS; COMPUTER SOFTWARE FOR SUPPLY CHAIN MANAGEMENT FROM SOURCE TO CONSUMPTION, NAMELY, FOR COLLECTING, STORING AND MANAGING DATA, AND REPORTING, EXECUTING AND TRACKING, IN CONNECTION WITH ENTERPRISE RESOURCE PLANNING, SUPPLIER ENABLEMENT, MANUFACTURING, INVENTORY CONTROL AND WAREHOUSING, ORDER FULFILLMENT, SHIPPING, TRANSPORTATION AND DELIVERY; COMPUTER SOFTWARE FOR USE IN THE MEDICAL AND HEALTH CARE FIELDS FOR PROCESSING CLAIMS FOR REIMBURSEMENT, MAINTAINING PATIENT AND MEDICAL RECORDS, CODING AND GROUPING DATA USED FOR MEDICAL AND HEALTH CARE RESEARCH, AND FOR REPORTING HEALTH TRENDS AND OTHER MEDICAL DATA; AND MEDICAL IMAGING SCANNERS AND RELATED SOFTWARE FOR CAPTURING IMAGES OF THE MOUTH AND TEETH FOR USE IN DENTISTRY, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 0-0-1960; IN COMMERCE 0-0-1960.

FOR: FULL LINE OF SURGICAL AND MEDICAL MASKS, RESPIRATORS AND FACE AND EYE SHIELDS FOR MEDICAL AND HEALTH-CARE RELATED PERSONNEL; FULL LINE OF ORTHOPEDIC CASTINGS TAPES, SPLINTS, REINFORCING STRIPS, ELASTIC BANDAGES, AND SUPPORT BANDAGES AND COMPRESSION WRAPS; COMPOSITE FABRICS CONTAINING FIBERGLASS

AND RESINS FOR USE IN MAKING CASTS; PADDING FOR ORTHOPEDIC CASTS; ORTHOPEDIC CASTING TOOLS; FULL LINE OF STETHOSCOPES; FULL LINE OF SURGICAL MASKS, FACE SHIELDS, AND RESPIRATORY MASKS FOR MEDICAL PURPOSES; FULL LINE OF SURGICAL AND MEDICAL PROCEDURE DRAPES AND SHEETS; PATIENT ISOLATION DRAPES; MEDICAL-EQUIPMENT ISOLATION DRAPES; NON-ADHERENT SHEETING FOR BEDS, STRETCHERS AND EXAM TABLES; SURGICAL GOWNS; COMPRESSION BANDAGES; SURGICAL COMPRESSES; MEDICAL THERMOMETERS; FULL LINE OF MEDICAL ELECTRODES WITH OR WITHOUT CHEMICAL CONDUCTORS AND WET GELS FOR USE IN CARDIAC, ELECTROCARDIOGRAPH, ELECTROENCEPHALOGRAPH AND OTHER TYPES OF PATIENT MONITORING; LEADS AND CONNECTORS FOR USE WITH MEDICAL ELECTRODES; DEFIBRILLATION PADS; ELECTROSURGICAL PADS, PLATES AND ADAPTERS TO REMOVE RF CURRENT FROM A PATIENT'S BODY DURING ELECTROSURGERY; THERMAL COLD AND HOT PACKS FOR FIRST AID AND THERAPEUTIC PURPOSES; EYE PATCHES FOR MEDICAL USE; PADDING FOR USE BETWEEN MEDICAL EQUIPMENT AND PATIENTS OR FOR ELEVATING OR POSITIONING LIMBS; POUCHES FOR HOLDING SURGICAL AND MEDICAL INSTRUMENTS; ISOLATION POUCHES AND BAGS FOR STORING ORGANS, TISSUE AND OTHER BODY PARTS FOR TRANSPLANTS AND LABORATORY TESTING; FULL LINE OF STERILIZED AND NON-STERILIZED FASTENING AND COMPRESSION SURGICAL WRAPS; AUTOCLAVES FOR MEDICAL USE;

ORTHODONTIC APPLIANCES; DENTAL APPARATUS, NAMELY, INTRA-ORAL LIGHT SYSTEMS FOR CURING DENTAL MATERIALS, CERAMIC USED IN MAKING DENTAL CROWNS, BRIDGES AND OTHER RESTORATIVES; DENTAL INSTRUMENTS AND KITS COMPRISED OF SUCH INSTRUMENTS, NAMELY, MANDRELS, BURS, DISCS, CUPS, WHEELS, POINTS, BRUSHES AND ABRASIVE STRIPS USED TO GRIND, POLISH OR FINISH DENTAL RESTORATIVES; DENTAL INSTRUMENTS, NAMELY, SCISSORS, CRIMPING PLIERS, CONTOURING PLIERS AND IMPRESSION TRAYS; ELECTRONIC MIXERS FOR DENTAL COMPOUNDS; APPLICATORS AND DISPENSERS FOR DENTAL PRIMERS, CEMENTS, ADHESIVES, IMPRESSION MATERIALS AND RESTORATIVE MATERIALS; GLASS-FIBER POSTS USED IN DENTAL RESTORATIVE PROCEDURES; AND DENTAL PROPHYLAXIS ANGLES AND DENTAL PROPHYLAXIS CUPS FOR USE IN CLEANING TEETH AND DENTAL HYGIENE PROCEDURES, IN CLASS 10 (U.S. CLS. 26, 39 AND 44).

FIRST USE 0-0-1960; IN COMMERCE 0-0-1960.

THE MARK CONSISTS OF STANDARD CHARACTERS WITHOUT CLAIM TO ANY PARTICULAR FONT, STYLE, SIZE, OR COLOR.

OWNER OF U.S. REG. NOS. 1,237,168, 2,793,534 AND OTHERS.

SER. NO. 77-257,496, FILED 8-16-2007.

TARAH HARDY, EXAMINING ATTORNEY

## EXHIBIT 5

Int. Cls.: 1, 3, 5, 9, 10 and 28

Prior U.S. Cls.: 1, 4, 5, 6, 10, 18, 21, 22, 23, 26, 36, 38,  
39, 44, 46, 50, 51 and 52

**United States Patent and Trademark Office**

Reg. No. 2,692,036

Registered Mar. 4, 2003

**TRADEMARK  
PRINCIPAL REGISTER**



3M COMPANY (DELAWARE CORPORATION)  
2501 HUDSON ROAD  
3M CENTER

ST. PAUL, MN 55144, BY MERGER, BY CHANGE  
OF NAME MINNESOTA MINING AND MANU-  
FACTURING COMPANY (DELAWARE COR-  
PORATION) ST. PAUL, MN 55144

FOR: ETHYLENE OXIDE FOR USE IN THE  
STERILIZATION OF MEDICAL, LABORATORY  
AND FOOD HANDLING INSTRUMENTS AND  
EQUIPMENT; CHEMICAL AND STEAM INDICA-  
TOR STRIPS AND TAPE FOR USE WITH AUTO-  
CLAVES AND FOR TESTING THE STERILITY OF  
MEDICAL INSTRUMENTS AND EQUIPMENT; IN-  
DICATOR STRIPS FOR TESTING GLUTARALDE-  
HYDE, ETHYLENE OXIDE AND OTHER  
CHEMICAL SOLUTIONS AND GASES; INDICATOR  
STRIPS FOR TESTING FOR BIOLOGICAL CONDI-  
TIONS FOR USE IN SAFETY-MONITORING; INDI-  
CATOR STRIPS FOR INDICATING  
TEMPERATURES FOR USE IN THE STERILIZA-  
TION AND SAFETY-MONITORING; ASSAY AND  
REAGENT TEST KITS AND COUNT PLATES FOR  
FIELD AND LABORATORY TESTING FOR ECOLI,  
COLIFORM, AND OTHER BACTERIA OR CON-  
TAMINANTS IN MEAT, DAIRY PRODUCTS AND  
OTHER TYPES OF FOOD, AND FOR TESTING TO  
DETECT YEAST AND MOLD; AND STERILIZA-  
TION MONITOR TESTING KITS CONTAINING  
INDICATOR STRIPS OR TAPE, REAGENTS AND  
RECORD KEEPING CARDS OR BINDERS FOR  
TESTING THE STERILITY OF SURGICAL AND  
MEDICAL INSTRUMENTS, EQUIPMENT, AND

SUPPLIES, IN CLASS 1 (U.S. CLS. 1, 5, 6, 10, 26  
AND 46).

FIRST USE 11-0-1990; IN COMMERCE 11-0-1990.

FOR: NON-MEDICATED SKIN CARE PRO-  
DUCTS, NAMELY, CLEANSERS, CREAMS, LO-  
TIONS, MOISTURIZERS, BARRIER CREAMS AND  
EMOLLIENTS, IN CLASS 3 (U.S. CLS. 1, 4, 6, 50, 51  
AND 52).

FIRST USE 1-0-1996; IN COMMERCE 1-0-1996.

FOR: FULL LINE OF BANDAGES, DRESSINGS  
AND MEDICAL TAPES, NAMELY, ADHESIVE  
BANDAGES, BANDAGES FOR SKIN WOUNDS,  
SURGICAL BANDAGES, WOUND DRESSINGS,  
NON-STICK PADS FOR USE AS MEDICAL DRES-  
SINGS, MEDICATED COMPRESSES, TRANSPAR-  
ENT MEDICAL DRESSINGS, HYDROCOLLOID  
DRESSINGS, COLOSTOMY DRESSINGS, ULCER  
DRESSINGS, MEDICAL ADHESIVE TAPES, SURGI-  
CAL TAPES, AND WOUND AND SKIN CLOSURE  
ADHESIVE STRIPS WITH OR WITHOUT ANTIMI-  
CROBIAL SOLUTIONS; GAUZE; WOUND HEAL-  
ING FILLERS WITH OR WITHOUT GAUZE;  
MEDICATED SKIN CARE PREPARATIONS; SUR-  
GICAL DISINFECTANTS AND PREPPING SOLU-  
TIONS; MEDICATED ANTISEPTIC HAND WASHES;  
AND CULTURE MEDIA, BACTERIOLOGICAL  
MEDIA AND DIAGNOSTIC PREPARATIONS FOR  
CLINICAL OR MEDICAL LABORATORY USE, IN  
CLASS 5 (U.S. CLS. 6, 18, 44, 46, 51 AND 52).

FIRST USE 2-0-1991; IN COMMERCE 2-0-1991.

FOR: COMPUTER SOFTWARE FOR USE IN THE MEDICAL AND HEALTH CARE FIELDS FOR PROCESSING CLAIMS FOR REIMBURSEMENT, MAINTAINING PATIENT RECORDS, CODING AND GROUPING DATA USED FOR MEDICAL AND HEALTH CARE RESEARCH, AND FOR REPORTING HEALTH TRENDS AND OTHER MEDICAL DATA; AND FULL LINE OF RESPIRATORY FACE MASKS FOR FILTERING OUT GERMS, DUST AND POLLEN, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 5-0-1992; IN COMMERCE 5-0-1992.

FOR: FULL LINE OF ORTHOPEDIC CASTINGS TAPES, SPLINTS, REINFORCING STRIPS, ELASTIC BANDAGES, AND SUPPORT BANDAGES AND COMPRESSION WRAPS; COMPOSITE FABRICS CONTAINING FIBERGLASS AND RESINS FOR USE IN MAKING CASTS; PADDING FOR ORTHOPEDIC CASTS; ORTHOPEDIC CASTING TOOLS; FULL LINE OF STETHOSCOPES; FULL LINE OF SURGICAL MASKS, FACE SHIELDS, AND RESPIRATORY MASKS FOR MEDICAL PURPOSES; FULL LINES OF SURGICAL AND MEDICAL PROCEDURE DRAPES AND SHEETS; PATIENT ISOLATION DRAPES; MEDICAL-EQUIPMENT ISOLATION DRAPES; NON-ADHERENT SHEETING FOR BEDS, STRETCHERS AND EXAM TABLES; SURGICAL GOWNS; COMPRESSION BANDAGES; SURGICAL COMPRESSES; MEDICAL THERMOMETERS; FULL LINE OF MEDICAL ELECTRODES WITH OR WITHOUT CHEMICAL CONDUCTORS AND WET GELS FOR USE IN CARDIAC, ELECTROCARDIOGRAPH, ELECTROENCEPHALOGRAPH AND OTHER TYPES OF PA-

TIENT MONITORING; LEADS AND CONNECTORS FOR USE WITH MEDICAL ELECTRODES; DEFIBRILLATION PADS; ELECTROSURGICAL PADS, PLATES AND ADAPTERS TO REMOVE RF CURRENT FROM A PATIENT'S BODY DURING ELECTROSURGERY; THERMAL COLD AND HOT PACKS FOR FIRST AID AND THERAPEUTIC PURPOSES; EYE PATCHES FOR MEDICAL USE; PADDING FOR USE BETWEEN MEDICAL EQUIPMENT AND PATIENTS OR FOR ELEVATING OR POSITIONING LIMBS; POUCHES FOR HOLDING SURGICAL AND MEDICAL INSTRUMENTS; ISOLATION POUCHES AND BAGS FOR STORING ORGANS, TISSUE AND OTHER BODY PARTS FOR TRANSPLANTS AND LABORATORY TESTING; AND FULL LINE OF STERILIZED AND NON-STERILIZED FASTENING AND COMPRESSION SURGICAL WRAPS, IN CLASS 10 (U.S. CLS. 26, 39 AND 44).

FIRST USE 6-0-1990; IN COMMERCE 6-0-1990.

FOR: ATHLETIC TAPE AND ATHLETIC SUPPORT AND COMPRESSION WRAPS FOR KNEES, WRISTS, ANKLES, ELBOWS, LEGS AND ARMS, IN CLASS 28 (U.S. CLS. 22, 23, 38 AND 50).

FIRST USE 0-0-1993; IN COMMERCE 0-0-1993.

OWNER OF U.S. REG. NOS. 1,181,981, 1,213,836, AND 1,234,260.

SER. NO. 76-137,885, FILED 9-29-2000.

ALICIA COLLINS, EXAMINING ATTORNEY

## EXHIBIT 6



Int. Cls.: 1, 3, 5, 9, 10 and 28

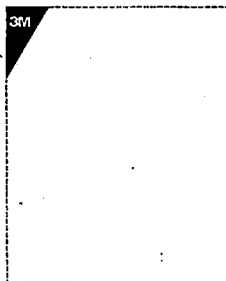
Prior U.S. Cls.: 1, 4, 5, 6, 10, 18, 21, 22, 23, 26, 36, 38,  
39, 44, 46, 50, 51 and 52

**United States Patent and Trademark Office**

**Reg. No. 2,793,534**

Registered Dec. 16, 2003

**TRADEMARK  
PRINCIPAL REGISTER**



3M COMPANY (DELAWARE CORPORATION)  
2501 HUDSON ROAD  
3M CENTER

ST. PAUL, MN 55144, BY MERGER, BY CHANGE  
OF NAME MINNESOTA MINING AND MANU-  
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PORATION) ST. PAUL, MN 55144

FOR: ETHYLENE OXIDE FOR USE IN THE  
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TOR STRIPS AND TAPE FOR USE WITH AUTO-  
CLAVES AND FOR TESTING THE STERILITY OF  
MEDICAL INSTRUMENTS AND EQUIPMENT; IN-  
DICATOR STRIPS FOR TESTING GLUTARALDE-  
HYDE, ETHYLENE OXIDE AND OTHER  
CHEMICAL SOLUTIONS AND GASES; INDICATOR  
STRIPS FOR TESTING FOR BIOLOGICAL CONDI-  
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CATOR STRIPS FOR INDICATING  
TEMPERATURES FOR USE IN THE STERILIZA-  
TION AND SAFETY-MONITORING; ASSAY AND  
REAGENT TEST KITS AND COUNT PLATES FOR  
FIELD AND LABORATORY TESTING FOR E COLI,  
COLIFORM, AND OTHER BACTERIA OR CON-  
TAMINANTS IN MEAT, DAIRY PRODUCTS AND  
OTHER TYPES OF FOOD, AND FOR TESTING TO  
DETECT YEAST AND MOLD; AND STERILIZA-  
TION MONITOR TESTING KITS CONTAINING  
INDICATOR STRIPS OR TAPE, REAGENTS AND  
RECORD KEEPING CARDS OR BINDERS FOR  
TESTING THE STERILITY OF SURGICAL AND  
MEDICAL INSTRUMENTS, EQUIPMENT, AND

SUPPLIES, IN CLASS 1 (U.S. CLS. 1, 5, 6, 10, 26  
AND 46).

FIRST USE 11-0-1990; IN COMMERCE 11-0-1990.

FOR: NON-MEDICATED SKIN CARE PRO-  
DUCTS, NAMELY, CLEANSERS, CREAMS, LO-  
TIONS, MOISTURIZERS, BARRIER CREAMS AND  
EMOLLIENTS, IN CLASS 3 (U.S. CLS. 1, 4, 6, 50, 51  
AND 52).

FIRST USE 1-0-1996; IN COMMERCE 1-0-1996.

FOR: FULL LINE OF BANDAGES, DRESSINGS  
AND MEDICAL TAPES, NAMELY, ADHESIVE  
BANDAGES, BANDAGES FOR SKIN WOUNDS,  
SURGICAL BANDAGES, WOUND DRESSINGS,  
NON-STICK PADS FOR USE AS MEDICAL DRES-  
SINGS, MEDICATED COMPRESSES, TRANSPAR-  
ENT MEDICAL DRESSINGS, HYDROCOLLOID  
DRESSINGS, COLOSTOMY DRESSINGS, ULCER  
DRESSINGS, MEDICAL ADHESIVE TAPES, SURGI-  
CAL TAPES, AND WOUND AND SKIN CLOSURE  
ADHESIVE STRIPS WITH OR WITHOUT ANTIMI-  
CROBIAL SOLUTIONS; GAUZE; WOUND HEAL-  
ING FILLERS WITH OR WITHOUT GAUZE;  
MEDICATED SKIN CARE PREPARATIONS; SUR-  
GICAL DISINFECTANTS AND PREPPING SOLU-  
TIONS; MEDICATED ANTISEPTIC HAND WASHES;  
AND CULTURE MEDIA, BACTERIOLOGICAL  
MEDIA AND DIAGNOSTIC PREPARATIONS FOR  
CLINICAL OR MEDICAL LABORATORY USE, IN  
CLASS 5 (U.S. CLS. 6, 18, 44, 46, 51 AND 52).

FIRST USE 2-0-1991; IN COMMERCE 2-0-1991.

FOR: COMPUTER SOFTWARE FOR USE IN THE MEDICAL AND HEALTH CARE FIELDS FOR PROCESSING CLAIMS FOR REIMBURSEMENT, MAINTAINING PATIENT RECORDS, CODING AND GROUPING DATA USED FOR MEDICAL AND HEALTH CARE RESEARCH, AND FOR REPORTING HEALTH TRENDS AND OTHER MEDICAL DATA; AND FULL LINE OF RESPIRATORY FACE MASKS FOR FILTERING OUT GERMS, DUST AND POLLEN, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 5-0-1992; IN COMMERCE 5-0-1992.

FOR: FULL LINE OF ORTHOPEDIC CASTINGS TAPES, SPLINTS, REINFORCING STRIPS, ELASTIC BANDAGES, AND SUPPORT BANDAGES AND COMPRESSION WRAPS; COMPOSITE FABRICS CONTAINING FIBERGLASS AND RESINS FOR USE IN MAKING CASTS; PADDING FOR ORTHOPEDIC CASTS; ORTHOPEDIC CASTING TOOLS; FULL LINE OF STETHOSCOPES; FULL LINE OF SURGICAL MASKS, FACE SHIELDS, AND RESPIRATORY MASKS FOR MEDICAL PURPOSES; FULL LINE OF SURGICAL AND MEDICAL PROCEDURE DRAPES AND SHEETS; PATIENT ISOLATION DRAPES; MEDICAL-EQUIPMENT ISOLATION DRAPES; NON-ADHERENT SHEETING FOR BEDS, STRETCHERS AND EXAM TABLES; SURGICAL GOWNS; COMPRESSION BANDAGES; SURGICAL COMPRESSES; MEDICAL THERMOMETERS; FULL LINE OF MEDICAL ELECTRODES WITH OR WITHOUT CHEMICAL CONDUCTORS AND WET GELS FOR USE IN CARDIAC, ELECTROCARDIOGRAPH, ELECTROENCEPHALOGRAPH AND OTHER TYPES OF PATIENT MONITORING; LEADS AND CONNECTORS FOR USE WITH MEDICAL ELECTRODES; DEFIBRILLATION PADS; ELECTROSURGICAL PADS,

PLATES AND ADAPTERS TO REMOVE RF CURRENT FROM A PATIENT'S BODY DURING ELECTROSURGERY; THERMAL COLD AND HOT PACKS FOR FIRST AID AND THERAPEUTIC PURPOSES; EYE PATCHES FOR MEDICAL USE; PADDING FOR USE BETWEEN MEDICAL EQUIPMENT AND PATIENTS OR FOR ELEVATING OR POSITIONING LIMBS; POUCHES FOR HOLDING SURGICAL AND MEDICAL INSTRUMENTS; ISOLATION POUCHES AND BAGS FOR STORING ORGANS, TISSUE AND OTHER BODY PARTS FOR TRANSPLANTS AND LABORATORY TESTING; AND FULL LINE OF STERILIZED AND NON-STERILIZED FASTENING AND COMPRESSION SURGICAL WRAPS, IN CLASS 10 (U.S. CLS. 26, 39 AND 44).

FIRST USE 6-0-1990; IN COMMERCE 6-0-1990.

FOR: ATHLETIC TAPE AND ATHLETIC SUPPORT AND COMPRESSION WRAPS FOR KNEES, WRISTS, ANKLES, ELBOWS, LEGS AND ARMS, IN CLASS 28 (U.S. CLS. 22, 23, 38 AND 50).

FIRST USE 0-0-1993; IN COMMERCE 0-0-1993.

OWNER OF U.S. REG. NOS. 1,181,981, 1,234,260 AND OTHERS.

THE MATTER SHOWN IN BROKEN LINES INDICATES THE RELATIVE PLACEMENT OF THE MARK ON A TYPICAL PACKAGE FOR THE GOODS AND IS NOT CLAIMED AS A FEATURE OF THE MARK.

SER. NO. 76-138,263, FILED 9-29-2000.

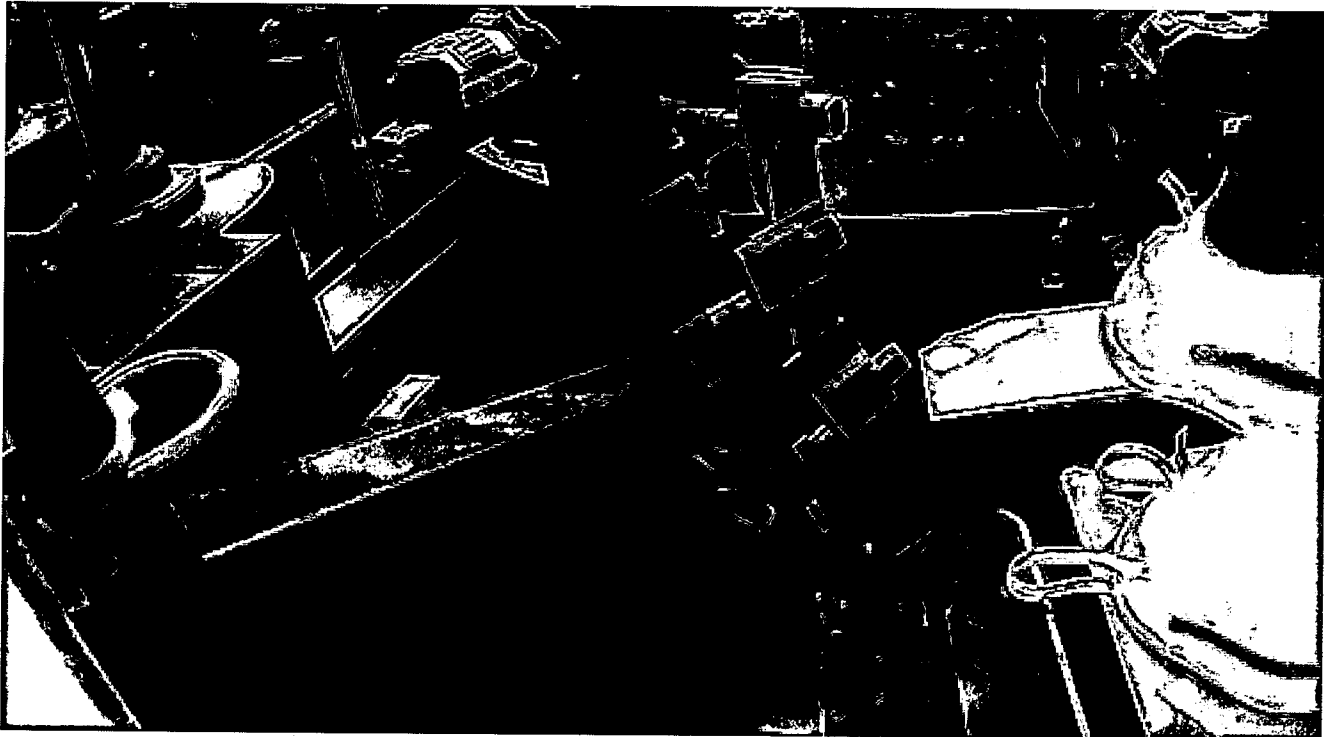
ALICIA COLLINS, EXAMINING ATTORNEY

## EXHIBIT 7

11/10/2020

Fraud Alert

**3M** Science.  
Applied to Life.™



## 3M COVID-19 Anti-Fraud, Anti-Price Gouging, and Anti-Counterfeit

### Have a concern to report related to Fraud, Price Gouging or Counterfeit p

At 3M, we are committed to doing all we can to help combat the fraudulent, price gouging, and counterfeit will not tolerate any such activity by 3M authorized channel partners and we will aggressively pursue third-party enforcement authorities around the world – including, in the U.S., the U.S. Attorney General, State Attorney:

To learn more about the actions 3M are taking to prevent respiratory product fraud, please [click here](https://engage.3m.com/covidfraud)

11/10/2020

Fraud Alert

## COVID-19 Fraud

Please complete as much of the information requested below as possible. Fields marked with an asterisk are that 3M has received the report. We request that you reply to that email and attach any copies of invoices, contracts, etc. that can help us to investigate the situation.

### Requestor Information

**First Name\*****Last Name\*****Requestor Type\*****Company Name (if applicable)****Email/Business Email Address\*****Phone/Business Phone Number\*****Country/Region\***

### Tell Us About the Fraud You Would Like to Report

**Where is the fraudulent offer or conduct occurring?\*****Please provide specific web page or URL link to the fraudulent offer**

11/10/2020

Fraud Alert

## Alleged Solicitor/Seller Information

*Please provide as much information as possible.*

**Seller First Name****Seller Last Name****Seller Company****Seller Email****Seller Phone****Seller Country/Region****Seller's Website**

## Fraud Product Details

**Product 1\*****Product 1 Price****Product 1 3M SKU****Product 1 Quantity**

11/10/2020

Fraud Alert

**Product 2****Product 2 Price****Product 2 3M SKU****Product 2 Quantity****Product 3****Product 3 Price****Product 3 3M SKU****Product 3 Quantity****Product Fraud Details\***

*Provide as much detail about the interaction as possible including how you first contacted the seller and what*

Please be aware that the information you supply about yourself, or any aspect of 3M's operations may result in information that, to the best of your knowledge, is correct. You will not be sanctioned for submitting information knowingly provide false or misleading information, it may result in disciplinary or judicial action.

3M respects your right to privacy. 3M will collect, use, and disclose the personal information you provide in disclosures described in our Privacy Policy, 3M may share all personal information you provided in this form with governmental authorities, regulators or courts: (i) to comply with a law, regulation, court order, or other legal requirement; (ii) investigating and responding to fraud, intellectual property infringement, violation of our contracts or agreements; or (iii) to protect the safety, health, or rights of the public.

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protect 3M rights or property or yours or others' health, safety, welfare, rights, or property; or (iv) under sim Covid 19 situation. If 3M elects to share personal information with law enforcement agencies, governmental personal information.

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